

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C.371ATTORNEY'S DOCKET NUMBER  
HHR-US

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/889916

PRIORITY DATE CLAIMED

24 November 1999 (24.11.99)

INTERNATIONAL APPLICATION  
PCT/DE00/04134INTERNATIONAL FILING DATE  
21 November 2000 (21.11.00)

TITLE OF INVENTION METHOD AND DEVICE FOR THE PRODUCTION OF LIGHT-METAL PELLETS

APPLICANT(S) FOR DO EO US

Christian BOEHNKE

Applicant herewith submits to the United States Designated/Elected Office (DO EO US) the following items and other information:

1.  This is a **FIRST** submission of items concerning a filing under 35 U.S.C.371.
2.  This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3.  This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)).
4.  The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
5.  A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a.  is attached hereto (required only if not communicated by the International Bureau).
  - b.  has been communicated by the International Bureau.
  - c.  is not required, as the application was filed in the United States Receiving Office (RO/US).
6.  An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)) (**8 sheets**).
7.  Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a.  are attached hereto (required only if not communicated by the International Bureau).
  - b.  have been communicated by the International Bureau.
  - c.  have not been made; however, the time limit for making such amendments has NOT expired.
  - d.  have not been made and will not be made.
8.  An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9.  An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (**unexecuted**) (**3 sheets**)
10.  An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## Items 11. to 16. below concern document(s) or information included:

11.  An Information Disclosure Statement under 37 CFR 1.97 and 1.98. (**2 sheets**) with Form PTO-144 (**1 sheet**) along with copies of references (**14 sheets**).
12.  An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included
13.  A FIRST preliminary amendment (**4 sheets**) with attached Version to Show Markings (**3 sheets**).
  - A SECOND or SUBSEQUENT preliminary amendment.
14.  A substitute specification.
15.  A change of power of attorney and/or address letter.
16.  Other items or information: **Transmittal Letter with authorization to charge our Deposit Account in the amount of \$990.00 for filing fee based on Large Entity (2 sheets in duplicate); PCT International Published Application in German (WO 01/38021 A1) (with International Search Report) (20 sheets); Certificate of Express Mailing (1 sheet); and Return Postcard.**

U.S. APPLICATION NO. (if known, see 37 CFR 1.492(e))  
**09/889910**INTERNATIONAL APPLICATION NO.  
PCT/DE00/04134ATTORNEY'S DOCKET NO.  
HHI-032US

17.  The following fees are submitted:

**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5) ) .(a/o November 1, 2000):**

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO.....\$1000

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO .....\$860

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.455(a)(2)) paid to USPTO .....\$710

International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4).....\$690

International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4).....\$100

**CALCULATIONS PTO USE ONLY**

\$860.00

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than <input checked="" type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				<b>\$130.00</b>
<b>CLAIMS</b>				<b>RATE</b>
Total claims <b>9 -20 = 0</b>				X \$18.00 \$0
Independent claims <b>1 -3 = 0</b>				X \$80.00 \$0
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ 270.00 \$0
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$990.00</b>
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$
<b>SUBTOTAL =</b>				<b>\$990.00</b>
Processing fee of <b>\$130.00</b> for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$
<b>TOTAL NATIONAL FEE =</b>				<b>\$990.00</b>
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property				+ \$
<b>TOTAL FEES ENCLOSED =</b>				<b>\$990.00</b>
				<b>Amount to be: refunded</b> \$
				<b>charged</b> \$

- a.  A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.
- b.  Please charge my Deposit Account No. **12-0080** in the amount of **\$990.00** to cover the above fees. A duplicate copy of this sheet is enclosed.
- c.  The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. **12-0080**. A duplicate copy of this sheet is enclosed.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:  
**Anthony A. Laurentano, Esq.**  
**LAHIVE & COCKFIELD, LLP**  
**28 State Street**  
**Boston, Massachusetts 02109**  
**United States of America**  
**(617) 227-7400**  
**Date: 23 July 2001**

  
SIGNATURE  
**Anthony A. Laurentano**  
NAME  
**38,220**  
REGISTRATION NUMBER

09/889916  
JC17 Rec'd PCT/PTO 23 JU. 2001

IN THE UNITED STATES PATENT DESIGNATED OFFICE (DO/US)  
(National Phase of International App.: PCT/DE00/04134, W/O 01/38021 A1)

In re the  
application of: **Christian BOEHNKE**

International Application No.: **PCT/DE00/04134**

International Filing Date: **November 21, 2000**

U.S. Serial No.: **Not Yet Assigned**

Filed: **Herewith**

For: **METHOD AND DEVICE FOR THE  
PRODUCTION OF LIGHT-METAL PELLETS**

Attorney Docket No.: HHI-032US

**BOX PCT**

Commissioner for Patents  
Washington, D.C. 20231

Certification Under 37 CFR 1.10

I hereby certify that the attached:

Transmittal Letter with authorization to Charge Deposit Account in the amount of \$990.00 for filing fee (2 sheets in duplicate); English Translation of Application as filed (8 sheets); Unexecuted Declaration, Petition and Power of Attorney (3 sheets); Information Disclosure Statement (2 sheets); Form PTO-1449 (1 sheet) and copies of cited references (14 sheets); First Preliminary Amendment (4 sheets) (along with version of markings to show changes (3 sheets)); PCT International Published Application in German (WO 01/38021 A1) (with International Search Report) (20 sheets); Certificate of Express Mailing (1 sheet); and Return Postcard are being deposited by me with the United States Postal Service "Express Mail Post Office to Addressee" service, Mailing Label No. **EL683638245US**, under 37 CFR 1.10 on the date indicated below and is addressed to the Box PCT, Commissioner for Patents, Washington, D.C. 20231.

Date: 23 July 2001

Name: Larry Taylor

Signature: Larry Taylor